



ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/037,153-Conf. #5605
				Filing Date	December 21, 2001
				First Named Inventor	Chui-Shan Teresa Lam
				Art Unit	3621
				Examiner Name	C. L. Hewitt
Sheet	1	of	1	Attorney Docket Number	09469/010001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<div style="text-align: center;"> </div>	AA*	US-6,792,424-B1	09-14-2004	International Business Machines Corporation	
	AB*	US-6,370,250-B1	04-09-2002	International Business Machines Corporation	
	AC*	US-6,351,812-B1	02-26-2002	AT&T Corp	
	AD*	US-6,157,723	12-05-2000	Motorola, Inc.	
	AE*	US-6,047,374	04-04-2000	Sony Corporation	
	AF*	US-6,035,402	03-07-2000	GTE CyberTrust Solutions Incorporated	
	AG*	US-6,009,177	12-28-1999	Certco LLC	
	AH*	US-5,373,561	12-13-1994	Bell Communications Research, Inc.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
CH ✓	BA	WO-01/17160-A1	03-08-2001	Motorola Inc.		✓
	BB	WO-00/19652	04-06-2000	POOVENDRAN, Raadhakrishnan, et al.		✓

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<div style="text-align: center;"> </div>	CA	International Search Report dated 1/19/2004 (9 pages)	
	CB	T. GREANIER; "Discover the Secrets of the Java Serialization SPI"; Articles Index No. XP-002262884; July 2000 (6 pages).	
	CC	L. OPYRCHAL, et al.; "Efficient Object Serialization in Java"; Publication No. XP-002242373; Department of Electrical Engineering and Computer Science, University of Michigan; May 1999; 6 pages.	
	CD	Z. CANELA, et al.; "Integrating Web-Based User Interfaces in TMN Systems", PP. 110-119; Alcatel Alsthom Corporate Research Center, France; 1998 IEEE; 10 pages	
	CE	ISA/ European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages.	
	CF	PGP Freeware for Windows 95, Windows 98, Windows NT, Windows 2000 & Windows Millennium, User's Guide Version 7.0, January 2001, Network Associates, 246 pages	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Calvin Hewitt II/	Date Considered	10/02/2006
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